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(Darren M. Simon)

Docket No.: SONYJP 3.0-1068 (PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Yasushi Saito

Application No.: 10/813,454

Group Art Unit: 2121

Filed: March 30, 2004

Examiner: J. L. Norton

For: AUTOMATIC GAIN ADJUSTMENT DEVICE AND AUTOMATIC GAIN ADJUSTMENT

METHOD

Dated: July 13, 2007

MS RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the reference(s) listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each reference which is not a U.S. patent or patent application is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited reference(s) is/are legally available prior art or that the same is/are pertinent or material.

Pursuant to 37 C.F.R. § 1.97(e) (1), undersigned counsel hereby certifies that each item of information contained in the accompanying Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application not more than three months prior to the filing of said statement.

In the event that any additional fee is due in connection with the present request, the same should be charged to our deposit account No. 12-1095.

Dated: July 13, 2007

Respectfully submitted,

Darren M. Simon

Registration No.: 47,946 LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP

600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicant(s)

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	1

Complete if Known				
Application Number	10/813,454-Conf. #4691			
Filing Date March 30, 2004				
First Named Inventor Yasushi Saito				
Art Unit	2121			
Examiner Name	J. L. Norton			
Attorney Docket Number	SONYJP 3.0-1068			

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA*	US-2002/176333-A1	11-28-2002	LIN MENG-FU	

FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	١.
	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)		Applicant of Cited Document	Or Relevant Figures Appear	
	ВА	JP-2000315326-A	11-14-2000	ROHM CO LTD.		V
	вв	JP-11024705	01-29-1999	Pioneer Electronic Corp		4

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. 'Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>8</sup> Applicant is to place a check mark here if English language Translation is attached.

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magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publis		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
		DAVID M HARRISON, MICHAEL J HOWES, ROGER D POLLARD: "The Evaluation of Phase Noise in Low Noise Oscillators", MICROWAVE SYMPOSIUM DIGEST, MTT-S INTERNATIONAL, vol. 87, no. 1, June 187 (1987-06), pages 521-524, XP002434237, Las Vegas, Nevada, USA		

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